

Dkt. 68103-PCT-US/JPW/CH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Kausik Si and Eric Kandel
 U.S. Serial No. : 10/578,203
 Filed : as \$371 national stage of
 PCT/US2004/36781
 For : A PRION-LIKE FORM OF CPEB AND
 RELATED COMPOSITIONS AND METHODS

1185 Avenue of the Americas
 New York, New York 10036
 March 21, 2007

Mail Stop PCT
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

**COMMUNICATION IN RESPONSE TO DECEMBER 27, 2006
 NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN
 THE UNITED STATES DESIGNATION/ELECTED OFFICE (DO/EO/US)
AND PETITION FOR ONE-MONTH EXTENSION OF TIME**

This Communication is submitted in response to the December 27, 2006 Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) issued by the United States Patent and Trademark Office in connection with the above-identified application. The December 27, 2006 Notice, a copy of which is attached hereto as **Exhibit A**, provides two (2) months for filing a response. Therefore, a response to the December 27, 2006 Notice was due February 27, 2007. Applicants hereby petition for a one-month extension of time under the provisions of 37 C.F.R. §1.136(a). The required fees are \$65.00 for late filing of the response, \$65.00 for a one-month extension of time under 37 CFR §1.136. A check in the amount of \$125.00 is enclosed. With a one-month extension of time, a response to the December 27, 2006 Notice is due March 27, 2007. Accordingly, this Communication is being timely filed.

03/26/2007 G-FREY1 00000000 10578203
 01 FC:2617 65.00 OP
 03/26/2007 60.00 OP

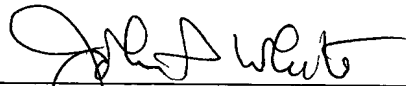
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The December 27, 2006 Notice indicates that the oath or declaration is unsigned. In response, applicants submit hereto as **Exhibit B** a signed Declaration and Power of Attorney pursuant to 37 C.F.R. §1.53(f). In compliance with 37 C.F.R. §1.63, the Declaration refers to the application's above-identified serial number and filing date.

If a telephone interview would be of assistance in advancing prosecution of the subject application, applicants' undersigned attorney invites the Examiner to telephone him at the number provided below.

No fee, other than the \$65.00 declaration surcharge and the \$60.00 fee for a one-month extension under 37 CFR §1.136, is deemed necessary in connection with the filing of this response. Accordingly, a check in the amount of \$125.00 is enclosed. However, if any additional fee is required, authorization is hereby given to charge the amount of such fee to Deposit Account No. 03-3125.

Respectfully submitted,



John P. White
Registration No. 28,678
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(212) 278-0400

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March 21, 2007

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Commissioner for Patents
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Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE OF MAILING
FOR ABOVE-IDENTIFIED APPLICATION

"Express Mail" mailing label number: EV 974 445 015 US
Date of Deposit: March 21, 2007

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Printed Name: _____

[Handwritten Signature]

Respectfully submitted,

[Handwritten Signature]

John P. White
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Attorney for the Applicants
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(Customer No. 23432)
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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U.S. APPLICATION NUMBER NO.

10/578,203

FIRST NAMED APPLICANT

Kausik Si

ATTY. DOCKET NO.

68103-PCTUS/JPW/CH

INTERNATIONAL APPLICATION NO.

PCT/US04/36781

I.A. FILING DATE

11/05/2004

PRIORITY DATE

11/07/2003

23432

COOPER & DUNHAM, LLP
1185 AVENUE OF THE AMERICAS
NEW YORK, NY 10036

CONFIRMATION NO. 5211

371 FORMALITIES LETTER



OC000000021670306

Date Mailed: 12/27/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 05/04/2006
- Copy of the International Search Report filed on 05/04/2006
- Preliminary Amendments filed on 05/04/2006
- Information Disclosure Statements filed on 05/04/2006
- Oath or Declaration filed on 05/04/2006
- Small Entity Statement filed on 05/04/2006
- Request for Immediate Examination filed on 05/04/2006
- Copy of references cited in ISR filed on 05/04/2006
- U.S. Basic National Fees filed on 05/04/2006
- Priority Documents filed on 05/04/2006
- Specification filed on 05/04/2006
- Claims filed on 05/04/2006
- Abstracts filed on 05/04/2006
- Drawings filed on 05/04/2006

Missing Parts:
 2 mo. 2/15/06
 3 mo. 3/15/06
 4 mo. 4/15/06
 5 mo. 5/15/06
 6 mo. 6/15/06
 7 mo. 7/15/06

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

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 Exhibit A

- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$65** for a Small Entity:

- **\$65 Surcharge.**

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

PART 1 - ATTORNEY/APPLICANT COPY

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10/578,203	PCT/US04/36781	68103-PCTUS/JPW/CH